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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/667,532	09/22/2003	Sean M. Megley	16190-002001	9933
26161 7590 08/13/2008 FISH & RICHARDSON PC P.O. BOX 1022 MINNEAPOLIS, MN 55440-1022			EXAMINER MESFIN, YEMANE	
			ART UNIT 2144	PAPER NUMBER
			MAIL DATE 08/13/2008	DELIVERY MODE PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Pre-Interview Communication</b> (For use in the First Action Interview Pilot Program)	Application No.	Applicant(s)	
	10/667,532	MEGLEY, SEAN M.	
	Examiner	Art Unit	Page 1 of
	Yemane Mesfin	2144	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
 THE SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE ONE MONTH OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

This time period for reply is NOT extendable under 37 CFR 1.136(a). This communication constitutes notice under 37 CFR 1.136(a)(1)(i).

To avoid abandonment of the application, applicant must, within this time period for reply, file:

- (1) A letter requesting not to have a first-action interview, or
- (2) A completed Applicant Initiated Interview Request Form (PTOL-413A) accompanied by a proposed amendment or arguments.

Inventor participation in the Pre-First Action Interview is encouraged if it would expedite resolution of the application.

#### Disposition of Claims

- 3) ☒ Claim(s) 1-10, 13, 15-18 and 20-24 is/are pending in the application.  
 3a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 4) ☐ Claim(s) \_\_\_\_\_ appear to be allowable.
- 5) ☒ Claim(s) 1-10, 13, 15-18 and 20-24 appear to be rejectable.
- 6) ☐ Claim(s) \_\_\_\_\_ may be subject to an objection.
- 7) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 8) ☐ The specification may be subject to an objection by the Examiner.
- 9) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ may be subject to an objection by the Examiner.  
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 10) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 11) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
 a) ☐ All   b) ☐ Some \* c) ☐ None of:  
 1. ☐ Certified copies of the priority documents have been received.  
 2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\*See the attached detailed Office action for a list of the certified copies not received.

#### Contact Information

Examiner's Telephone Number: (571)272-3927  
 Examiner's Typical Work Schedule: 9:00AM-6:30PM  
 Supervisor's Name: Vaughn William  
 Supervisor's Telephone Number: 572-272-3922

#### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)  
 Paper No(s)/Mail Date \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413)  
 Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_.


<b>Pre-Interview Communication</b>	<b>Application No.</b> 10667532	<b>Applicant(s)</b> MEGLEY, SEAN M.	
	<b>Examiner</b> Yemane Mesfin	<b>Art Unit</b> 2144	Page 2 of

**Notification of Potential Rejection(s) and/or Objection(s)**

#	Claim(s)	Reference(s) (if applicable)	Rejection Statutory Basis	Brief Explanation of Potential Rejection
1	1, 7-9, 15-17, 23 and 24	Jacobson	102(e)	Claims 1, 9, and 17 (see Jacobson, Abstract, Column 2, Lines 7-24, Column 10, Line 55 through Column 11, Line 59, Column 12, Lines 1-65, Column 13, Lines 25-64, Column 14, Lines 8-67 and Column 18, Lines 15-30); see continuation below
2	2-6, 10, 13, 18 and 21	Jacobson, Lineman	103(a)	Claims 2-6, 10, 13, 18 and 21 (see Jacobson Column 11, Lines 41-53, Column 12, Lines 1-52 Column 18, Lines 15-30, compliance policy value (i.e., compliance score) and Column 13, Line 65 through Column 14, Line 29, Displaying rule compliance (see continuation)

**Expanded Discussion/Commentary**

1	Claims 7, 15, and 23 (see Jacobson Fig. 1, Fig. 5, and Column 7, Lines 35-62); and Claims 8, 16 and 24 (see Jacobson Fig. 3c # 300 and # 336; Column 13, Line 25 through Column 14, Line 19 and Column 10, Lines 9-26 interface for feedback and evaluation process).
2	results to an administrator. Jacobson discloses the invention substantially as claimed, except that Jacobson does not explicitly recite a graphical interface for displaying the compliance scores). However, Lineman discloses graphical interface for displaying the compliance scores in different forms, see Lineman, Fig. 11D showing a chart on a graphical interface, Figs. 13A-13C, Page 8, ¶¶0081, and Page 9, ¶¶0086-0087). Thus, it would have been obvious to have modified the teachings of Jacobson in order to facilitate the rule compliance management.

<b>DATE:</b> 08/11/2008	<b>Examiner Signature:</b> 	<b>Primary Examiner Signature:</b>
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